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Description

Method and transmission device for transmission of data in a
multi-carrier system

The invention relates to a method for transmission of data in
a multi-carrier system in accordance with the preamble of
Claim 1 as well as to a transmission station for transmission
of data in a multi-carrier system in accordance with Claim 9.

In Orthogonal Frequency Division Multiplexing OFDM, which is
used especially in WLAN radio networks, for example those
functioning in accordance with the IEEE 802.11 Standard as
well as for HiperLAN, a method is used in which simultane-
ously a number of carrier frequencies, also simply referred
to as carriers, are employed for the transmission of a digi-
tal signal, but these carrier frequencies are only modulated
with a reduced transmission rate in relation to the overall
transmission rate available (across all carriers).

For this purpose the frequency band available for OFDM is
subdivided into a number of (sub)carrier bands. The carrier
frequency spacing is governed by the transmission rates.

An OFDMA-based access scenario in a system with a plurality
of users (Multiple User system) is based on the approach of
assigning each of the users their own OFDM sub-carrier.

In a system of this type and under real transmission condi-
tions crosstalk effects between the carriers arise, also re-
ferred to as ICI (Inter-Channel Interferences).

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ICI is produced in this case both as a result of a doppler shift arising from the movement of mobile terminals and also as a result of an oscillator phase noise.

5   in an OFDM system the so-called "downlink", which in mobile communication in general identifies communication going from a base station to a mobile station, both the doppler shift as well as the part of the oscillator phase noise generally corrected/compensated in the receiver, which is called Common
10   Phase Error (CPE), is the same for all carrier frequencies of the sub-carrier bands, so that for this communication direction no access problem triggered by the OFDMA principle arises.

15   On the other hand, in the "uplink", a term generally used in mobile communication to designate the communication going in the opposite direction, from a mobile station to a base station, the problem arises of the doppler shifts not being constant over all sub-carriers as a result of the different
20   relative speeds of the mobile subscribers. In addition the phase noise or the correctable part of the phase noise for this communication direction is uncorrelated as a rule since it is predominantly generated by the unsynchronized oscillators of the individual users.
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The Inter Channel Interference produced by the doppler shift as well as the phase noise with his communication direction represents, in an OFDMA-based uplink a limitation of the transmission characteristics which can go as far as resulting
30   in a complete failure of the system.

The underlying object of the invention is to specify a method as well as an arrangement which make possible an essentially interference-free OFDMA access in the uplink.
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This object is achieved, starting from the preamble of method
claim 1, by its characterizing features, as well as starting
from the preamble of arrangement Claim 9, by its characteriz-
ing features.

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The advantage of the method in accordance with the invention
it is that a reduction which extends as far as elimination of
the ICI through send-side pre-emphasis of the send signal for
a part of the carrier frequencies of the sub-carrier band as

10   a function of the current transmission facilities is
achieved, since in this way the limitations of the transmis-
sion characteristics in this communication direction are re-
moved or reduced, with the pre-emphasis relating to all or
any to the sub-carriers at the edges of a frequency band

15   which is assigned to a user and as a result has the advantage
that precisely those sub-carriers of a user are pre-
emphasized which contribute significantly to ICI - regardless
of whether phase noise or doppler shifting is the aspect lim-
iting the system.

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Preferably the signal is pre-emphasized with the aid of the
filter by a signal filter which corresponds in the time range
to a windowing and thus in the frequency range to a folding
and is especially identified by the fact that the filtered

25   sub-carriers feature essentially high rates of change and
thereby make a significant contribution to ICI suppression.
In addition a receiver which is used in a system employing
the inventive method needs only slight modification or no
modification at all to achieve this. Filtering the sub-

30   carriers in the edge area of an OFDM symbol also allows the
guard band, i.e. the number of the unused sub-carriers at the
edge of the OFDM symbol, to be reduced so that in addition a
comparatively higher data rate can be achieved.

35   It is advantageous to execute the pre-emphasis such that the
value of a first symbol duration assigned to the emphasized
carrier frequencies remains the same. In particular the time

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that range windowing or the folding operation in the fre-
quency range is identified by the fact that the length of the
time range window $\omega_{(k)}$ overall does not exceed the OFDM useful
symbol duration and duration of the cyclic prefix and the re-
5   quired rate of change of the sub-carriers is essentially de-
termined by the oversampling.

Preferably the length of the OFDM user symbol duration is the
same as the length of the time range window $\omega_{(k)}$. Basically
10   two different embodiments of the time range window control
exist $\omega_{(k)}$ firstly windows which would fulfill the Nyquist
criterion such as for example the Root-Raised-Cosine window),
i.e. that despite send-side windowing or filtering of the re-
ceiver, especially with an ideal channel, is in a position to
15   reconstruct the sent data error-free and secondly windows or
filters which do not fulfill the Nyquist criteria in the
sense given above, but however by contrast allow compara-
tively higher filter rates of change and thereby a compara-
tively better ICI suppression, as for example the Blackman
20   window).

The number of pre-emphasized sub-carriers can also basically
be extended to all sub-carriers, especially when the combina-
tion of doppler effect and phase noise is the limiting factor
25   for the ICI.

Further advantageous embodiments are specified in the sub-
claims.

30   Further explanations as well as advantages of the invention
are reproduced in the description of Figures 1 to 3c. The
Figures show:

Figure 1   send-side modulation of OFDM symbols in accordance
35   with the prior art,

Figure 2   send-side modulation of OFDM symbols in accordance
            with the inventive method,

Figure 3a
5   to 3c   diagrams of a simulation with a typical pre-
              emphasis function as well as a typical set of pa-
              rameters.

Figure 1a shows a schematic diagram of the send-side modula-
10   tion method in accordance with the prior art or the structure
     of the transmitter to execute this known method. According to
     the prior art each symbol pulse $S_{d(k)}$ of a kth carrier f_k for N
     sub-carriers of a symbol carrier band of the bandwidth B is
     modulated, i.e. for each symbol pulse $S_{d(k)}$ for a time window
15   of length T an Inverse Fast Fourier Transformation (IFFT) in
     accordance with the formula

$$S_{d(k)} = \sum_{n=0}^{N-1} S_{d(n)} e^{j2\pi \frac{n}{N}k}$$

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     is applied and from it an OFDM symbol $S_{d(k)}$ is generated. To
     counteract echoes and/or synchronization errors, this OFDM
     symbol $S_{d(k)}$ with duration T, through which the window length
     of a corresponding Fourier analysis in the receiver is also
25   is generally provided with a guard time, i.e. the time T will
     be extended by a time T_g, usually referred to as the guard
     time so that overall for the OFDM symbol to be sent $S_{d(k)}$ a
     symbol time T_s is produced.

30   This modulation process is executed in accordance with the
     prior art for all carriers f_k of a sub-carrier band with N
     carriers.

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Figure 1b shows the filter structure IFFT underlying the known IFFT method which is produced in accordance with the formula

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$$c_{(k)} = \frac{1}{N} \cdot \sum_{n=0}^{N-1} d_{(n)} \cdot e^{j2\pi \frac{n}{N} k}$$

The receiver-side filter structure used to reverse the FFT method is identified by the formula

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$$\hat{d}_{(n)} = \sum_{k=0}^{N-1} c_{(k)} \cdot e^{-j2\pi \frac{k}{N} n}$$

Figure 3a shows a schematic diagram of the inventive method
15 or structurally the essential elements of the transmitter executing the inventive method. By contrast with the proce-dure in accordance with the prior art. in accordance with the invention - with the exception of those carriers f_n which are located in the edge area of the sub-carrier band - all symbol
20 pulses $S_{d(k)}$ assigned on the remaining carriers f_n , i.e. all symbol pulses $S_{d(k)}$ on carriers f_n with $k \in \,]0; N-1[$, are fed to the IFFT in accordance with the prior art , whereas the sym-bol pulses on the carriers f_n in the edge area of the sub-carrier band, i.e. the symbol pulses $S_{d(k)}$ assigned to the
25 carriers f_n with $k \in \{0; Nr-1\}$, are subjected to an oversampling with the rate r and pre-emphasis, with the pre-emphasis being undertaken such that the relevant symbol pulse $S_{d(k)}$ is win-dowed or filtered with a pre-emphasis function so that the pre-emphasis function $\omega_{(k)}$ determines the frequency response
30 of the pre-distorted/filtered sub-carrier.

Subsequently all symbol pulses $S_{d(k)}$ per user are modulated up
to the relevant sub-carrier frequency and - as is usual in
the IFFT method accordance with Figure 2a - added up.

5   In this case for send-side pre-emphasis in accordance with
the invention, a typical filter structure shown Figure 2b
represented by the formula

$$\tilde{c}_{(k)} = \frac{1}{N} \cdot \sum_{n=0}^{N \cdot r - 1} \omega_{(k)} \cdot \tilde{d}_{(n)} \cdot e^{j2\pi \frac{n}{N \cdot r} k}$$

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ωΙΦΦΤ ισemployed, with the pre-emphasis being achieved
through linkage with a window function
ω(ν) ιν τηε τιμε αρεα συχη ασ φορ εξαμπλε α "Blackman window" with
15   oversampling. This window function is for example described
by for n = 0, .. , M-1 with

$$\omega_{(n)} = \underbrace{[\tilde{\omega}_{(n)}}_{\frac{N \cdot r}{8}}, \underbrace{0...0}_{\frac{N \cdot 3 \cdot r}{4}}, \underbrace{\tilde{\omega}_{(n)}]}_{\frac{N \cdot r}{8}}$$

And,

$$\tilde{\omega}_{(n)} = 0,42 - 0,5 \cdot \cos(2\pi \frac{n}{M-1}) + 0,08 \cdot \cos(4\pi \frac{n}{M-1})$$

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with

$$M = \frac{N \cdot r}{4}$$

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preferably applying here and r giving the oversampling rate, and where the vector $\widetilde{d}_{(n)}$ is defined as a result of the over-sampling in the following way

$$\widetilde{d}_{(n)} = \begin{bmatrix} d_{(n)} \\ \vdots \\ d_{(n)} \\ 0 \\ \vdots \\ 0 \end{bmatrix} \begin{matrix} \left.\vphantom{\begin{matrix}d_{(n)}\\\vdots\\d_{(n)}\end{matrix}}\right\} \forall n = \begin{bmatrix} 0...N-1 \end{bmatrix} \\ \left.\vphantom{\begin{matrix}0\\\vdots\\0\end{matrix}}\right\} \forall n = \begin{bmatrix} N...N \cdot r-1 \end{bmatrix} \end{matrix}$$

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Furthermore Figure 2b shows a receive-side filter structure scaled FFT, provided to reverse the IFFT pre-emphasized by the send-side filter structure ωIFFT and described by the
10 formula

$$\hat{d}_{(n)} = \sum_{k=0}^{N-1} \widetilde{c}_{(k)} \cdot e^{-j2\pi \frac{k}{N} n}$$

which, in a real system is essentially identical to a re-ceiver structure in accordance with the prior art (Figure 1).

15 Figure 2c shows an inventive OFDMA structure in the uplink, with 2 different users – namely User A and User B – using different sub-carrier frequency bands and where in accordance with the invention preferably on the adjacent sub-carrier L of the first user (User A) and on the sub-carrier (L+1) of
20 the second user (User B) said pre-emphasis is applied in or-der to suppress the ICI in the base station.

In Figures 3a and 3b, to illustrate the results of the calcu-lation with the formulae mentioned above, illustrative dia-
25 grams with the following parameter sets

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N  =  2     4     8     16    32    64
r  =  512   256   128   64    32    16
M  =  512   512   512   512   512   512
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are shown.

In Figure 3a the power density spectrum of a non-ideal oscil-
lator, the combined power density spectrum of a non-ideal
transmit oscillator and of a non-ideal receive oscillator can
be seen, which will be examined as representative of a time-
variant fault which causes ICI. In addition Figure 3 shows a
sub-carrier in accordance with the prior art (solid line) and
it filtered sub-carrier in accordance with the invention
(solid line marked with circles). It can be seen from this
diagram that even with a folding in the frequency range of
sub-carrier and power density spectrum of the fault, the re-
sulting power density spectrum emits far less energy outside
the frequency band assigned to a sub-carrier in each case and
thereby comparatively less ICI.

Figure 3b shows quantitatively how much ICI the sub-carrier
windowed with a Blackman window generates by comparison with
a sub-carrier in accordance with the prior art, with the com-
bined reference power density spectrum of transmit and re-
ceive oscillator in accordance with Figure 3 having been used
as the power density spectrum of the fault.